

FORM PTO-1390  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S SCKET NUMBER  
72.053TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (37 CFR 1.5)

09/937,331

INTERNATIONAL APPLICATION NO.  
PCT/EP00/02138INTERNATIONAL FILING DATE  
10 March 2000PRIORITY DATE CLAIMED  
March 23, 1999

09/937331

TITLE OF INVENTION *Internal Vibrator with a Measuring System*APPLICANT(S) FOR DO/EO/US **Günter KUNZE, Georg SICK and Stefan GRAUL**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - **EXECUTED**
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording and Appointment of Domestic Representative. **EXECUTED**
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. <b>09/937331</b>		INTERNATIONAL APPLICATION NO. <b>CT/00/02138</b>		ATTORNEY'S DOCKET NUMBER <b>12.053</b>	
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21. ☐ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1040.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... **\$740.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	0 - 20 =	0	X <b>\$18.00</b>	\$ 0.00
Independent claims	0 - 3 =	0	X <b>\$80.00</b>	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$270.00</b>	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00
<b>SUBTOTAL =</b>				\$ 0.00
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				\$ 0.00
<b>TOTAL NATIONAL FEE =</b>				\$ 1020.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1060.00</b>
				Amount to be:
				refunded
				\$
				charged
				\$

**CALCULATIONS PTO USE ONLY**

10/049135

09/937,331 DSB

  

a. ☒ A check in the amount of \$ 1060.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1170. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

  

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

  

SEND ALL CORRESPONDENCE TO:

Timothy E. Newholm  
 BOYLE, FREDRICKSON, NEWHOLM, STEIN & GRATZ S.C.  
 250 E. Wisconsin Ave., Ste. 1030  
 Milwaukee, WI 53202

Timothy E. Newholm, Reg. No. 34,400

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$2,510)

Application Number 09/937,331

Filing Date September 21, 2001

First Named Inventor Kunze

Examiner Name

Group Art Unit

Attorney Docket No. 72.053

Complete Known 11/22 Rec'd PCT/PTO 04 FEB 2002

METHOD OF PAYMENT		FEE CALCULATION (continued)	
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number 50-1170 Deposit Account Name Boyle, Fredrickson, Newholm, Stein & Gratz S.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> Large Entity Fee Code (\$)	
<b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Small Entity Fee Code (\$)	
<b>FEE CALCULATION</b>		Fee Description	
<b>1. BASIC FILING FEE</b>		Fee Paid	
Large Entity Fee Code (\$)		Fee Description	
Small Entity Fee Code (\$)		Fee Paid	
Fee Description		Fee Paid	
101 740 201 370 Utility filing fee		130	
106 330 206 165 Design filing fee			
107 510 207 255 Plant filing fee			
108 740 208 370 Reissue filing fee			
114 160 214 80 Provisional filing fee			
SUBTOTAL (1) (\$)			
<b>2. EXTRA CLAIM FEES</b>			
Total Claims Independent Claims Multiple Dependent		Fee from below = Fee Paid	
-20**= <input type="checkbox"/> X			
-3**= <input type="checkbox"/> X			
Large Entity Fee Code (\$)		Fee Description	
Small Entity Fee Code (\$)		Fee Paid	
Fee Description		Fee Paid	
103 18 203 9 Claims in excess of 20			
102 84 202 42 Independent claims in excess of 3			
104 280 204 140 Multiple dependent claim, if not paid			
109 84 209 42 **Reissue independent claims over original patent			
110 18 210 9 **Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)			
** or number previously paid, if greater; For Reissues, see above			
Other fee (specify) U.S. Basic National Fee - 30 Month		SUBTOTAL (3) (\$2,510)	
*Reduced by Basic Filing Fee Paid			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34,400
Signature		Telephone	(414) 225-9755
		Date	December 11, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.